

Complexity as a solution to GLA-style learning challenges

In the context of Balto-Finnic vowel typology

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Part I: Typology and analysis

Balto-Finnic vowel typology

Language(s)	Inventory	Restrictions in env.	Vowel harmony		
			Back	Front	Transparent/Opaque
PR [1] Estonian	*a, *æ, *ɨ, *e, *o, *u	*æ, *ɨ, *e, *y	-	-	-
[2] Finnish	*a, *æ, *ɨ, *e, *o, *u	*æ, *y, *a, *u, *ɨ, *o	-	-	-
VH [3] Finnish [4] Estonian [5] Finnish [6] Estonian	*a, *æ, *ɨ, *e, *o, *u	-	*e, *o	*æ, *y, *ɨ, *o	1, 2
PR + VH [7] Finnish [8] Estonian	*a, *æ, *ɨ, *e, *o, *u	*æ, *y, *a, *u, *ɨ, *o	*e, *o	*æ, *y, *ɨ, *o	1, 2
[9] Finnish [10] Estonian	*a, *æ, *ɨ, *e, *o, *u	*æ, *y, *a, *u, *ɨ, *o	*e, *o	*æ, *y, *ɨ, *o	1, 2
[11] Finnish [12] Estonian	*a, *æ, *ɨ, *e, *o, *u	*æ, *y, *a, *u, *ɨ, *o	*e, *o	*æ, *y, *ɨ, *o	1, 2
[13] Finnish [14] Estonian	*a, *æ, *ɨ, *e, *o, *u	*æ, *y, *a, *u, *ɨ, *o	*e, *o	*æ, *y, *ɨ, *o	1, 2
[15] Finnish [16] Estonian	*a, *æ, *ɨ, *e, *o, *u	*æ, *y, *a, *u, *ɨ, *o	*e, *o	*æ, *y, *ɨ, *o	1, 2

Ask me about... Decaying VH (opaque vowels) / Variably transparent vowels!

Arona (1968), Campbell & King (2013), Grönlund (2011, 2022), Karlsson (2016), Kiparsky & Pajusalu (2008), Luukko (2022), Lönnrot (1981), Markus & Rintamäki (2022), Mieling (2022), Mieling (2018, 2019), Paavola (2023), Song (2006), Salminen (2022), Soiron et al. (2008)

OT Analysis: constraint set

Stringency scales (de Lacy, 2002)

Back markedness: $u > y > o > a, u$
 Sets & constraints:
 • *B_u = *[u]
 • *B_y = *[y]
 • *B_o = *[o, y, o]
 • *B_a = *[a, u, o, u]
 • *B_u = *[u, y, o, o, u]

Front markedness: $\emptyset > \text{æ}, y > e > i$
 Sets & constraints:
 • *F_i = *[i]
 • *F_e = *[e, æ, y]
 • *F_y = *[æ, æ, y, e]
 • *F_u = *[æ, æ, y, e]

No-disagreement constraints (Pulleyblank, 2002)

- Incorporate stringency relations
- E.g.,
 - *F_{B_u} = *[æ, æ, y]{[u, y, o, o, u]}
 - *B_{F_e} = *[u, y, o, o, u]...[æ, æ, y, e]
- Total of 64 harmony constraints

Faithfulness constraints

- ID-σ(Back)
- ID(Back)

OT Analysis: crucial rankings

All varieties: ID-σ₁(Bk) >> ID(Bk)

Outright bans

Finnish:
 • no /u, y/ in inventory
 • *B_u >> ID-σ₁(Bk); ID(Bk)

Positional restrictions

North Estonian:
 • no /æ, y, ø, u, y/ in non-initial syllables
 • ID-σ₁(Bk) >> *B_u; *F_e >> ID(Bk)

Vowel harmony with transparency

North Seto:
 • backness harmony; /I/ is transparent
 • ID-σ₁(Bk); *B_{F_e}; *B_{F_u}; *F_{B_u}; *F_{B_e}; *F_{B_y} >> ID(Bk)

$B_{1,2,3,4,5} = u > y > o > a, u; F_{1,2,3,4,5} = \emptyset > \text{æ}, y > e > i$

Part II: Learning challenges

Learning conditions

- GLA (Boersma & Hayes, 2001) with identity-mapped inputs only, uniformly distributed
- Widely-used biases:
 - Low initial faithfulness (Gnanadesikan, 1995)
 - Specific over general faithfulness (Hayes, 2004)
- Very large constraint set:**
 - Option 1: as proposed on slide 3
 - The only repair for VH/PR violations is change in [zback]
 - Option 2: with the addition of MaxiO-σ₁ and MaxiO
 - Introduces deletion as an alternate repair
- Ideally, we end up with rankings like this:
 - Full bans; any VH; all faith except ID(Bk) >> any PR >> ID(Bk) >> all others

Results excerpts

Full bans; any VH; all faith except ID(Bk) >> any PR >> ID(Bk) >> all others

North Estonian (PR)	Finnish (VH)	North Seto (PR+VH)
Option 1	Option 1	Option 1
Option 2	Option 2	Option 2

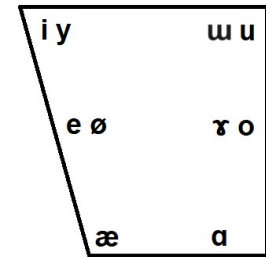
PRs too high; PRs too low; MBK too high; OK - too close?; MBK too high; Too close!

$B_{1,2,3,4,5} = u > y > o > a, u; F_{1,2,3,4,5} = \emptyset > \text{æ}, y > e > i$

Discussion

- Accounting for entire typology ⇒ many constraints, with some inherent learning challenges
 - Antagonistic constraints oscillate ⇒ faithfulness constraints mistakenly get credit for vowel harmony
 - Specific/granular VH constraints ⇒ mistakenly get credit for positional restrictions
- What kinds of similar problems arise with other constraint sets that are "big enough"?
 - Deletion as repair
 - Alleviates a particular learning challenge
 - Reflects the complexity of reality
 - Are there other repair options?
- Appropriateness of GLA-style algorithms vs what we can glean from this about learning in general? (Pater, 2008; Magri, 2012; Magri & Storme, 2020; Vesik, 2023)

Balto-Finnic vowel typology



PR

VH

PR

+ VH

VH

	Language(s)	Inventory	Restrictions in σ_{2+}	Vowel harmony			
				Back	Front	Transparent	Opaque
(a)	N Estonian ←	i, e, æ, y, ø, ɤ, ɑ, u, o	* æ, y, ø, ɤ	-	-	-	-
(b)	Livonian	i, e, æ, y, ø, ɯ, ɤ, ɑ, u, o	* æ, y, ø, ɯ, ɤ, o	-	-	-	-
(c)	Finnish; ← Karelian; Ingrian	i, e, æ, y, ø, ɑ, u, o	-	ɑ, u, o	æ, y, ø	i, e	-
(d)	Votic; Kihnu Estonian	i, e, æ, y, ø, ɤ, ɑ, u, o	-	ɤ, ɑ, u, o	e, æ, y, ø	i, e	-
(e)	N Seto ← (S Estonian)	i, e, æ, y, ø, ɯ, ɤ, ɑ, u, o	* ɯ	ɯ, ɤ, ɑ, u, o	e, æ, y, ø	i	-
(f)	S Seto (S Estonian)	i, e, æ, y, ø, ɯ, ɤ, ɑ, u, o	* ø, ɯ	ɯ, ɤ, ɑ, u, o	e, æ, y, ø	i, e	o
(g)	Veps	i, e, æ, y, ø, ɑ, u, o	* æ, y, ø	ɑ, u, o	æ, y, ø	i, e	-

Ask me about... Decaying VH! Opaque vowels! Variably transparent vowels!

Ariste (1968), Campbell & King (2013), Grünthal (2015, 2022), Karlsson (2018), Kiparsky & Pajusalu (2003), Laakso (2022), Léonard (1993), Markus & Rozhanskiy (2022), Metslang (2022), Nikolaev (2018, 2019), Pajusalu (2022), Sang (2009), Sarhimaa (2022), Suomi et al. (2008)

OT Analysis: constraint set

Stringency scales (de Lacy, 2002)

Back markedness: $\omega > \gamma > o > \alpha, u$

Sets & constraints:

- $*B_1 = *\{\omega\}$
- $*B_2 = *\{\omega, \gamma\}$
- $*B_3 = *\{\omega, \gamma, o\}$
- $*B_5 = *\{\omega, \gamma, o, \alpha, u\}$

Front markedness: $\emptyset > \text{æ}, \gamma > e > i$

Sets & constraints:

- $*F_1 = *\{\emptyset\}$
- $*F_3 = *\{\emptyset, \text{æ}, \gamma\}$
- $*F_4 = *\{\emptyset, \text{æ}, \gamma, e\}$
- $*F_5 = *\{\emptyset, \text{æ}, \gamma, e, i\}$

No-disagreement constraints (Pulleyblank, 2002)

- Incorporate stringency relations
- E.g.
 - $*F_3 \underline{B}_5 = *\{\emptyset, \text{æ}, \gamma\}\{\omega, \gamma, o, \alpha, u\}$
 - $*\underline{B}_5 \dots F_4 = *\{\omega, \gamma, o, \alpha, u\} \dots \{\emptyset, \text{æ}, \gamma, e\}$
- Total of 64 harmony constraints

Faithfulness constraints

- ID- σ_1 (Back)
- ID(Back)

OT Analysis: crucial rankings

All varieties: $ID-\sigma_1(\text{Bk}) \gg ID(\text{Bk})$

Outright bans

Finnish:

- no / ω , γ / in inventory
- $*B_2 \gg ID-\sigma_1(\text{Bk}); ID(\text{Bk})$

	$*B_2$	$ID-\sigma_1(\text{Bk})$	$ID(\text{Bk})$
/ γ /	*!		
γ			
e 🙅		*	*

Positional restrictions

North Estonian:

- no / \ae , γ , \emptyset , ω , γ / in non-initial syllables
- $ID-\sigma_1(\text{Bk}) \gg *B_2; *F_3 \gg ID(\text{Bk})$

	$ID-\sigma_1(\text{Bk})$	$*F_3$	$*B_2$	$ID(\text{Bk})$
/ $\emptyset..y$ /		*!		
$\emptyset..y$				
$\emptyset..u$ 🙅				*
$\text{o}..y$	*!	*		*
$\text{o}..u$	*!	*		**

Vowel harmony with transparency

North Seto:

- backness harmony; /i/ is transparent
- $ID-\sigma_1(\text{Bk}); *B_5F_4; *B_5...F_4; *F_4B_5; *F_4...B_5 \gg ID(\text{Bk})$

	$*B_5F_4$	$*B_5...F_4$	$*F_4B_5$	$*F_4...B_5$	$ID(\text{Bk})$
/a..i..y/		*!			
a..i..y					
a..i..u 🙅					*
a..w..y	*!	**			*
a..w..u					**

$B_{1,2,3,5} = \omega > \gamma > \text{o} > \text{a}, \text{u}; F_{1,2,4,5} = \emptyset > \text{\ae}, \gamma > \text{e} > \text{i}$

Learning conditions

- GLA (Boersma & Hayes, 2001) with identity-mapped inputs only, uniformly distributed
- Widely-used biases:
 - Low initial faithfulness (Gnanadesikan, 1995)
 - Specific over general faithfulness (Hayes, 2004)
- **Very large** constraint set:
 - Option 1: as proposed on slide [3](#)
 - The only repair for VH/PR violations is change in [\pm back]
 - Option 2: with the addition of MaxIO- σ_1 and MaxIO
 - Introduces deletion as an alternate repair
- Ideally, we end up with rankings like this:
 - Full bans; any VH; all faith except ID(Bk) \gg any PR \gg ID(Bk) \gg all others

Full bans; any VH; all faith except ID(Bk) >> any PR >> ID(Bk) >> all others

Results excerpts

North Estonian (PR)

Option 1 Option 2

Id-Syl1(Bk)	124.000	MaxIO-Syl1	112.000
*F3	110.000	Id-Syl1(Bk)	110.200
*B2	110.000	*B1	100.000
*F1	108.000	*F5_B2	100.000
*B1	106.000	*F5..._B2	100.000
*F5..._B2	106.000	*_B5F3	100.000
*_B5...F3	106.000	*_B5...F3	100.000
*_B5F3	104.000	MaxIO	92.000
Id(Bk)	104.000	Id(Bk)	90.200
*F5_B2	102.000	*F1	90.000
*B5	100.000	*B2	88.200
*F5	100.000	*F3	80.000
		*B5	46.200
		*F5	37.800

PRs too high

PRs too low

Finnish (VH)

Option 1 Option 2

Id-Syl1(Bk)	136.220	MaxIO-Syl1	118.000
Id(Bk)	116.220	Id-Syl1(Bk)	114.002
*_B5...F3	112.000	*B1	100.000
*_B5F3	110.000	*B2	100.000
*B2	110.000	*F3_B5	100.000
*F3..._B5	108.020	*F3..._B5	100.000
*F1	105.800	*_B5F3	100.000
*B3	104.200	*_B5...F3	100.000
*F3_B5	102.000	MaxIO	98.000
*B1	102.000	Id(Bk)	94.002
*B5	100.220	*F1	85.998
*F5	99.780	*B3	70.002
		*B5	50.002
		*F5	45.998

Id(Bk) too high

OK – too close?

North Seto (PR+VH)

Option 1 Option 2

Id-Syl1(Bk)	132.000	MaxIO-Syl1	118.000
Id(Bk)	112.000	Id-Syl1(Bk)	114.000
*_B5...F4	108.000	*F4_B5	100.000
*F4_B5	106.000	*F4..._B5	100.000
*F4..._B5	106.000	*_B5F4	100.000
*_B5F4	106.000	*_B5...F4	100.000
*B1	104.000	MaxIO	98.000
*F4	102.000	*B1	94.000
*B3	102.000	Id(Bk)	94.000
*F5	100.000	*F4	86.000
*B5	100.000	*F5	56.000
		*B3	48.000
		*B5	42.000

Id(Bk) too high

Too close!

$B_{1,2,3,5} = \omega > \gamma > o > \alpha, u; F_{1,2,4,5} = \emptyset > \text{æ}, \gamma > e > i$

Discussion

- Accounting for entire typology \Rightarrow many constraints, with some inherent learning challenges
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